

UNITED STATES PATENT AND TRADEMARK OFFICE



UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Akkress: COMMISSIONER OF PATENTS AND TRADEMARKS Washington, D.C. 20231 www.uspto.gov

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO
09/224,376	12/31/1998	JOSEPH C. HARVELL	709000	3762
21909 7590 08/14/2002 CARR LAW FIRM, L.L.P. 670 FOUNDERS SQUARE 900 JACKSON STREET DALLAS, TX 75202			EXAM	INER
			CHANG, JUNGWON	
			ART UNIT	PAPER NUMBER
			2154	16
			DATE MAILED: 08/14/2002	

Please find below and/or attached an Office communication concerning this application or proceeding.



UNITED STATES PATENT AND TRADEMARK OFFICE



Paper No.

Notice of Non-Compliant Amendment (37 CFR 1.121)

requirements of 37 CFR 1.121, as amended on September 8, 2000 (see 65 Fed. Reg. 54603, Sept. 8, 2000, and 1238 O.G. 7 Sept. 19, 2000). In order for the amendment to be compliant, applicant must supply the following omissions or correction in response to this notice. THE FOLLOWING ITEMS ARE REQUIRED FOR COMPLIANCE WITH RULE 1.121 (APPLICANT NEED NOT RESUBMIT THE ENTIRE AMENDMENT): 1. A clean version of the replacement paragraph(s)/section(s) is required. See 37 CFR 1.121(b)(1)(ii). 2. A marked-up version of the replacement paragraph(s)/section(s) is required. See 37 CFR 1.121(b)(1)(iii). 3. A clean version of the amended claim(s) is required. See 37 CFR 1.121(c)(1)(i). 4. A marked-up version of the amended claim(s) is required. See 37 CFR 1.121(c)(1)(ii). Explanation: (LIE: Please provide specific details for correction to assist the applicant. For example, 'the clean version of claim 6 is missing.') For further explanation of the amendment format required by 37 CFR 1.121, see MPEP § 714 and the USPTO website at http://www.uspto.gov/web/offices/dcom/olia/pbg/sampleaf.pdf. A condensed version of a sample amendment format is attached. PRELIMINARY AMENDMENT: Unless applicant supplies the omission or correction to the preliminary amendment in compliance with revised 37 CFR 1.121 noted above within ONE MONTH of the mail date of this letter, examination on the merits may commence without entry of the originally proposed preliminary amendment. This notice is not an action under 35 U.S.C. 132, and this ONE MONTH time limit is not extendable. AMENDMENT AFTER NON-FINAL ACTION: Since the above-mentioned reply appears to be bona fide, applicant is given a TIME PERIOD of ONE MONTH or THIRTY DAYS from the mailing of this notice, whichever is longer, within which to supply the omission or correction noted above in order to avoid abandonment. EXTENSIONS OF THIS TIME PERIOD MAY BE GRANTED UNDER 37 CFR 1.136(a).		2
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4. A marked-up version of the amended claim(s) is required. See 37 CFR 1.121(c)(1)(ii). Explanation: Learn deright of Claim 34 is missing." (LIE: Please provide specific details for correction to assist the applicant. For example, "the clean version of claim 6 is missing.") For further explanation of the amendment format required by 37 CFR 1.121, see MPEP § 714 and the USPTO website at http://www.uspto.gov/web/offices/dcom/olia/pbg/sampleaf.pdf. A condensed version of a sample amendment format is attached. PRELIMINARY AMENDMENT: Unless applicant supplies the omission or correction to the preliminary amendment in compliance with revised 37 CFR 1.121 noted above within ONE MONTH of the mail date of this letter, examination on the merits may commence without entry of the originally proposed preliminary amendment. This notice is not an action under 35 U.S.C. 132, and this ONE MONTH time limit is not extendable. AMENDMENT AFTER NON-FINAL ACTION: Since the above-mentioned reply appears to be bona fide, applicant is given a TIME PERIOD of ONE MONTH or THIRTY DAYS from the mailing of this notice, whichever is longer, within which to supply the omission or correction noted above in order to avoid abandonment. EXTENSIONS OF THIS TIME PERIOD MAY BE GRANTED UNDER 37 CFR 1.136(a).		2. A marked-up version of the replacement paragraph(s)/section(s) is required. See 37 CFR 1.121(b)(1)(iii).
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AMENDMENT AFTER NON-FINAL ACTION: Since the above-mentioned reply appears to be bona fide, applicant is given a TIME PERIOD of ONE MONTH or THIRTY DAYS from the mailing of this notice, whichever is longer, within which to supply the omission or correction noted above in order to avoid abandonment. EXTENSIONS OF THIS TIME PERIOD MAY BE GRANTED UNDER 37 CFR 1.136(a).	For furt http://v	ther explanation of the amendment format required by 37 CFR 1.121, see MPEP § 714 and the USPTO website at
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Pay 12/01)	Regal In	nstruments Examiner (LIE)

ATTACHMENT:

SAMPLE AMENDMENT FORMAT

Conventional Heading Information for Amendment Supplied Here (Applicant, Appl. No., etc)

AMENDMENT		
Sir: In response to the Office action of October 10, 2000, please amend the above-identified		
application as follows:		
In the Specification:		
Please replace the paragraph beginning at page 5, line 15, with the following rewritten paragraph:		
In this construction the electric heating elements are positioned directly beneath the iron grid bars and melted fat is carried off in grooves formed in the upper surfaces of the bars		
In the claims:		
Please cancel claim 6.		
Please amend claim 7 as follows:		
7. (Amended) A griller as claimed in claim 1 wherein the power consumption of the heater element is 1250 watts and the weight of the grill member is about 3.5 kg.		
(Page Break)		
REMARKS/ARGUMENTS		
Claims 1-5 and 7-10 remain in this application. Claim 6 has been canceled. Claim 7 has been amended. Claims		
Applicant respectfully requests that a timely Notice of Allowance be issued in this case. SIGNATURE		
(Page Break)		
VERSION WITH MARKINGS TO SHOW CHANGES MADE		
In the specification:		
Paragraph beginning at line 15 of page 5 has been amended as follows:		
In this construction the electric heating elements are positioned directly beneath the iron grid bars and melted fat is carried off in grooves formed in the upper surfaces of the bars.		
In the claims:		
Claim 6 has been canceled.		
Claim 7 has been amended as follows:		
7. (Amended) A griller as claimed in claim 1 wherein the power consumption of the heater element is 1250 1600 watts and the weight of the grill member is about 3.5 kg.		



October 20, 2000

Volume 1, Issue 3

This is the third in a series of Patent News Bulletins to assist you in keeping up to date with significant rule changes which affect your area.

Keep this copy to use as a bookmark for your present MPEP, or view this bulletin again on the USPTO Website.



Simplified Amendment Practice.

Replacement paragraphs/sections/claims to be used. 37 CFR 1.121

The rule package
"Changes to the Patent
Business Goals - Final
Rule," published in the
Federal Register on
September 8, 2000, 65
Fed. Reg. 54603 (Sept.
8, 2000), and the Official
Gazette on
September 19, 2000,
1238 Off. Gaz. Pat. Office 77 (September 19,
2000). The PBG rule
package makes a number

The entire final rule may be found at the USPTO Website at http:// www.uspto.gov/web/ offices/dcom/olia/pbg/ index.html.

of revisions to Title 37.

Areas and individuals
primarily affected by this
rule change include:
(1)Patent Examiners and
Tech Support Staff in the
Technology Centers
(2) Office of Patent
Publication

Any questions related to this change in practice should be directed to Joe Narcavage, Special Projects Exr., (703-305-1795) or Liz. Dougherty, Legal Advisor, (703-306-3156) OPLA.

Mandatory compliance with the revised rule is not required until March 1, 2001. It is suggested that applicants adopt the revised procedures on or after November 7, 2000, in order to adjust to the changes in amendment practice.

Under the new amendment practice, amendments to the specification must be made by the submission of clean new or replacement paragraph(s), section(s), specification, or claim(s). This practice will provide a specification (including claims) in clean, or substantially clean, form that can be effectively captured and converted by optical character recognition (OCR) scanning during the patent printing process.

The new practice requires applicant to provide, in addition to the clean version of a replacement paragraph/section/claim, a marked-up version using applicant's choice of a conventional

marking system to indicate the changes, which will aid the examiner in identifying the changes that have been made. The marked-up version must be based on the previous version and indicate (by markings) how the previous version has been modified to produce the clean version submitted in the current amendment. The term "previous version" means the version of record in the application as originally filed or from a previously entered amendment.

The following format is suggested in an amendment paper: (1) a clean version of each replacement paragraph/section/claim with clear instructions for entry; (2) starting on a separate page, any remarks/arguments (37 CFR 1.111); and (3) starting on a separate page, a marked-up

version entitled "Version with markings to show changes made."

Applicants will also be able to submit a clean set of all pending claims, consolidating all previous versions of pending claims from a series of separate amendments into a single clean version in a single amendment paper. This submission of a clean version of all of the pending claims will be construed as directing the cancellation of all previous versions of any pending claims. No marked-up version will be required to accompany the clean version where no changes other than the consolidation are being made.

The amended rule encourages issuance of applications with an examiner's amendment without practitioners/applicants having to file a formal amendment. Additions or deletions of subject matter in the specification, including the claims, may continue to be

made in an examiner's amendment at the time of allowance by instructions to make any change at a precise location in the specification or the claims. An examiner's amendment may incorporate a printed copy of a fax or email amendment submitted by applicant. Only that part of the e-mail or fax directed to a clean version, or a portion of, a paragraph/claim to be added should be printed and attached to the examiner's amendment, with a paper copy of the entire e-mail or fax being entered in the file. The electronic version of the e-mail is not required to be saved once the printed e-mail (and any attachments) becomes part of the application file record.

Amendment by paragraph/claim replacement in clean form.

MPEP 714+ & 1302.04

